

# Incorporating Knowledge in Genetic Algorithms for Device Synthesis

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## Abstract

In this paper, the knowledge of qualitative relations between device parameters and characteristics, are incorporating to guide genetic algorithm to exploiting in the promising space. It makes device synthesis be more efficiently in searching for feasible design space, which satisfies the desired characteristics.

## Introduction

To design devices with desired characteristics is an important step in TCAD for technology synthesis [1]. It needs a synthesis methodology to get workable devices automatically instead of the conventional approach that by employing design of experience (DoE) [2], which needs the experts to tune design parameters.

Device synthesis is a nonlinear programming (NLP) problem, which can be performed through searching over the design space specified by designers. The requirements include: 1) because no explicit objective functions between parameters and characteristics are available for short channel devices, a global search strategy instead of gradient-based strategy should be employed to find the feasible results; 2) because there exists process deviation for each device parameter, to identify a workable device, it is necessary to find a feasible region that satisfied all constraints by the desired characteristics instead only a feasible point.

In our previous work [3], a prototype system for device synthesis is realized with a genetic algorithm, called GENOCOP [4], by calling device simulator to search feasible device parameters in the design space. It has been used to synthesis a FIBMOS device.

However, the calculation times should be decreased because device simulator is time-consuming. This work is focused on studying the methodology of

incorporating knowledge in genetic algorithms to reduce calculation times. Since the knowledge of qualitative relations between device parameters and characteristics, which are monotonous in common, can guide genetic algorithms to exploiting in the promising space. The results show this methodology can improve the performance of device synthesis system efficiently.

## Prototype system for device synthesis

The prototype synthesis system is summarized in Fig.1.

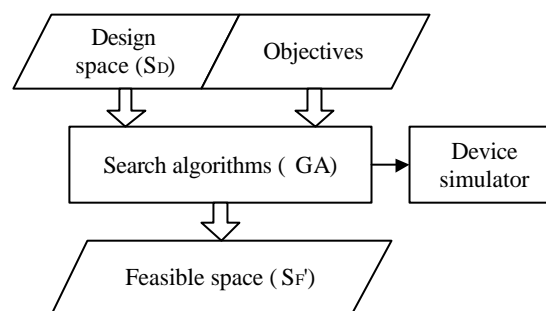


Fig. 1 System architecture of prototype system

The input parameters of genetic algorithms include:

- a) Design space ( $S_D$ ), is a hyperspace that defined by a set of design parameters with the bounds. In this work, in order to prevent the found feasible points to be too clustered to represent a big feasible space, each parameter is divided by specified step (the magnitude about of process deviation is suitable) to discrete  $S_D$  as an integer-value space. Each individual is a grid point.
- b) Objectives (include constraints) to specify the desired characteristics. We classify the objectives into:
  - MIN, minimize the objective value;
  - LET, the objective is less than a specified value;
  - REG, the objective is constrained in a region.

Where the LET and REG are constraints.

Definition 1: The space in  $S_D$  that satisfying all of the constraints is denoted as feasible space ( $S_F$ ). The infeasible space ( $S_I$ ) is the supplementary space of  $S_F$  in  $S_D$ . The summary of current found parts in  $S_F$  is  $S_F'$ , and the summary of current found parts in  $S_I$  is  $S_I'$ .

The mission is to find feasible points more fast, and make  $S_F'$  similar to  $S_F$ . For MIN objective, we consider the better solutions in  $S_F'$ .

### Incorporating knowledge in GA (IKGA)

#### Basic principle

Suppose there have  $n$  parameters and  $m$  objectives.

#### The matrix of relations $M_{DT}$ ( $m \times n$ )

Each element  $m_{dt}$  in  $M_{DT}$  is a relation between a parameter and an objective according to known knowledge. It defines the variety tendency of the characteristic value for an objective while the value of a parameter is increased, which includes four statuses:

- ♦ CONSTANT, no change;
- ♦ INCREASE, increasing;
- ♦ DECREASE, decreasing;
- ♦ UNKNOWN, unknown by users.

#### Variety tendency of design parameters $V_D$ ( $n \times 1$ )

Each element  $v_d$  in  $V_D$  represents how the current parameter value will be varied. It includes four statuses:

- ♦ CONSTANT, no change;
- ♦ INCREASE, value increases;
- ♦ DECREASE, value decreases;
- ♦ UNKNOWN, unknown status (not be used).

#### Variety tendency of characteristics $V_T$ ( $m \times 1$ )

Each element  $v_t$  in  $V_T$  represents how the characteristic of an objective will be varied. It includes four statuses:

- ♦ CONSTANT, no change;
- ♦ INCREASE, value increase;
- ♦ DECREASE, value decrease;
- ♦ UNKNOWN, unknown by users.

#### Calculation laws from $V_D$ to $V_T$

If the  $M_{DT}$  and  $V_D$  are known, the following equation is used to calculate  $V_T$ :

$$M_{DT}V_D = V_T \quad (1)$$

The operations between the elements include:

Add operations:

$$\begin{aligned} \text{UNKNOWN} + * &= \text{UNKNOWN}, \\ \text{CONSTANT} + * &= *, \\ \text{INCREASE} + \text{DECREASE} &= \text{UNKNOWN}, \\ * + * &= * \end{aligned} \quad (2a)$$

And multiply operations:

$$\begin{aligned} \text{CONSTANT} \cdot * &= \text{CONSTANT}, \\ \text{INCREASE} \cdot * &= *, \\ \text{DECREASE} \cdot \text{DECREASE} &= \text{INCREASE}, \\ \text{UNKNOWN} \cdot * &= \text{UNKNOWN} \\ (\text{If } * \neq \text{CONSTANT}) & \end{aligned} \quad (2b)$$

The operations satisfy the exchange law. The \* represent any elements.

Property 1: If the relation of  $i$ th ( $i < m$ ) objective to  $j$ th ( $j < n$ ) parameter is equal to UNKNOWN, and the  $j$ th element in  $V_D$  is not equal to CONSTANT, then the  $i$ th element in  $V_T$  will be equal to UNKNOWN.

#### Needed variety tendency of objective $V_O$ ( $m \times 1$ )

Each element  $v_o$  in  $V_O$  represents how the value of an objective should be varied to become an feasible objective. It includes four statuses:

- ♦ CONSTANT, not need be changed;
- ♦ INCREASE, need to increase;
- ♦ DECREASE, need to decrease;
- ♦ UNKNOWN, can be changed arbitrary.

Two steps are used to get the  $V_O$ :

a) Get objective relations  $R_{tcd}$  ( $m \times 1$ )

Each element  $r_{tcd}$  in  $R_{tcd}$  represents the magnitude between the current characteristics value ( $v_{cv}$ ) and the desired characteristics value ( $v_{dv}$ ) for an objective. It includes four statuses:

- ♦ X, can not compare (for a MIN objective);
- ♦ EQ, i.e.  $v_{cv} = v_{dv}$ ;
- ♦ LA, i.e.  $v_{cv} > v_{dv}$ ;
- ♦ LE, i.e.  $v_{cv} < v_{dv}$ .

b) Get  $V_O$  from the types of objectives

From the table 1, we can get the element  $v_o$  by the element in  $R_{tcd}$  and the type of each objective.

Table 1.  $v_o$  in different  $r_{ted}$  and objective types

	MIN	LET	REG
X	DECREASE	-	-
EQ	-	DECREASE	UNKNOWN
LE	-	DECREASE	INCREASE
LA	-	UNKNOWN	DECREASE

Find infeasible and feasible space

Definition 2: For an element in  $V_T$  and an element in  $V_O$ , if one of them is equal to UNKNOWN, then define the two elements are similar to each other.

Definition 3: If all the elements in  $V_T$  are similar or equal to the corresponding elements in  $V_O$ , then defines  $V_T$  is similar to  $V_O$ .

Property 2: For an individual, a  $V_D$  will create a  $V_T$ , if  $V_T$  is not similar to  $V_O$ , then the space that specified by  $V_D$  is infeasible space.

Property 3: For a feasible point p1, if all the elements in  $V_T$  from a  $V_D$  are not equal to UNKNOWN, and another feasible point p2 is belong to the space that specified by the point p1 and the  $V_D$ , then the child space that the bound are specified by the p1 and p2 is feasible space.

Robust calculation and times reduction

Definition 4: For a  $M_{DT}$ , a variety of  $V_D$  and the calculated  $V_T$  are defined as a relation couple ( $C_{DT}$ ).

Definition 5: For the  $V_T$  in a  $C_{DT}$ , if one of the elements is not UNKNOWN, then defines it as an effective relation couple ( $C_{EDT}$ ).

Definition 6: For a matrix that is constructed by some rows and columns of  $M_{DT}$ , if it includes no UNKNOWN elements, then defines it as a child determinate relation matrix ( $M_{CDT}$ ).

Definition 7: If a  $M_{CDT}$  is not a subset of any other  $M_{CDT}$  of  $M_{DT}$ , then define it as a maximum child determinate relation matrix ( $M_{MCDT}$ ).

To find  $C_{DT}$ s for a  $M_{MCDT}$  that constructed by  $n'$  parameters ( $n' = n$ ) and  $m'$  ( $m' = m$ ) targets, the  $V_D'$  is treated as a child scheme of  $V_D$ , while the other  $n-n'$  parameters of  $V_D$  are set to CONSTANT.

Property 4: The set of  $C_{DT}$ s of all the  $M_{MCDT}$  represent all the  $C_{EDT}$ s of  $M_{DT}$ .

Realization of IKGA

The program diagram of IKGA is shown in fig. 2.

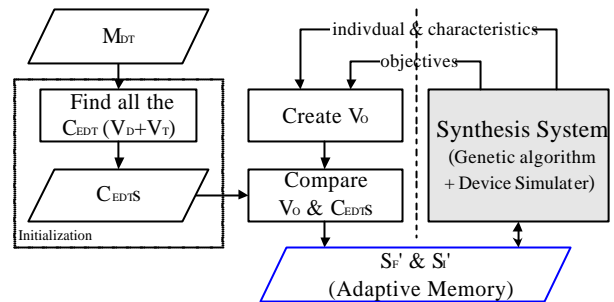


Fig. 2 Principle diagram of IKGA

On the initial stage, we find and store all the  $C_{EDT}$ s for given  $M_{DT}$ . When GA creates a new individual, device simulator is used to get the characteristics. With the information of objectives, we can create the  $V_O$ . If the individual is infeasible, then the  $V_O$  is compared to the stored  $C_{EDT}$ s and gets the infeasible space according to property 2. If the individual is feasible, then check all the other feasible points, and get the feasible space according to property 3. The found  $S_F'$  and  $S_I'$  are used to accelerate the evolution.

An adaptive memory is employing in GENOCOP to manage all the found infeasible space  $S_I'$  and feasible spaces  $S_F'$ , which are used to guide performing genetic operations in the promising space, while the points that located at the boundary of the  $S_I'$  or  $S_F'$  are selected as parents with large probability.

Results

The different  $M_{DT}$ s in table 2 are used to test IKGA (the case iiiii can be seemed as original genetic algorithm). The other algorithm settings are same, for each  $M_{DT}$ , the algorithm is performed 11 times (runs) and the least favorable one is disregarded.

Table 2. The different  $M_{DT}$  for IKGA

	Leff	Tox
Ion	DECREASE	DECREASE
Ioff	DECREASE	DECREASE

(i)

	Leff	Tox
Ion	UNKNOWN	DECREASE
Ioff	DECREASE	DECREASE

(ii)

	Leff	Tox
Ion	UNKNOWN	UNKNOWN
Ioff	DECREASE	DECREASE

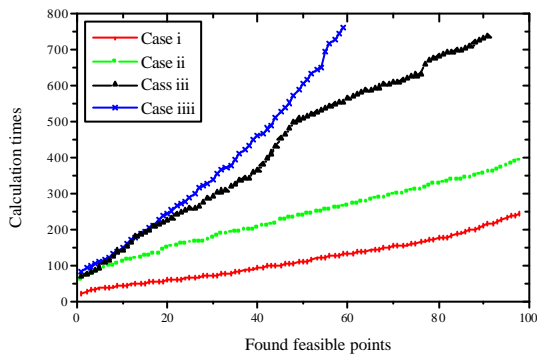
(iii)

	Leff	Tox
Ion	UNKNOWN	UNKNOWN
Ioff	UNKNOWN	UNKNOWN

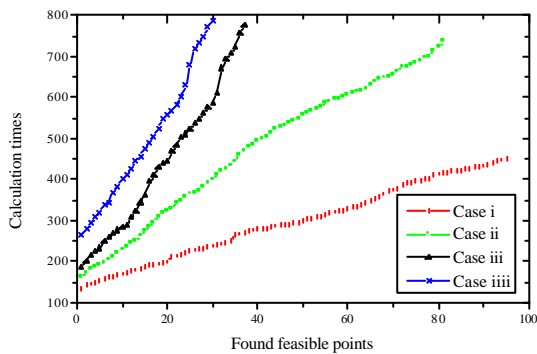
(iiii)

The example is design a MOS device, which the design parameters include channel length  $L_{eff}$  that is  $0.35 \sim 0.45\mu m$  and oxide thickness  $T_{ox}$  that is  $0.0025 \sim 0.0075\mu m$ , and the desired characteristics include the drive current  $I_{on}$  and off-state current  $I_{off}$ . The acceptable relative error is set to 1%. Two conditions for different  $S_F/S_D$  are tested:

- a)  $I_{on}=2e-3A, I_{off}<4.3E-13A. S_F/S_D=98/10201$
- b)  $I_{on}=2e-3A, I_{off}<4.04E-13A. S_F/S_D=144/1002001$



a)  $S_F/S_D=98/10201$



b)  $S_F/S_D=144/1002001$

Fig. 3 Number of feasible points vs. calculation times with different  $M_{DT}$ S

Fig. 3 shows the relations between the number of feasible points and the average calculation times in

different  $M_{DT}$  for different  $S_F/S_D$ . It can see more elements that are not UNKNOWN in  $M_{DT}$  can make the algorithm to perform searching efficiently, not only the first feasible point, but also a set of feasible points that are used to describe  $S_F'$ .

**Conclusion**

In this paper, we have proposed IKGA, an efficient and robust algorithm by incorporating knowledge in genetic algorithms to identify the feasible and infeasible space efficiently. The adaptive memory feature allows the implementation of procedures that are capable of searching the solution space economically and effectively. It is suitable for synthesizing the semiconductor devices, because many relations between device parameters and characteristic are monotonous.

Further explorations may concentrate on incorporating: 1) the single-peak relation, which is the second frequently relation between device parameters and characteristics, by identifying the monotonous parts; 2) linkage learning<sup>[5]</sup> for UNKNOWN elements.

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